Running head: Evolution and Functions of the RLK/Pelle family

Corresponding Author:
Dr. Shin-Han Shiu
S-306 Plant Biology Bldg.
Department of Plant Biology
Michigan State University
East Lansing, MI 48824

Phone number: 517-353-7196

Email address: shius@msu.edu

Journal research area: Genome Analysis
Evolutionary History and Stress Regulation of Plant Receptor-Like Kinase/Pelle Genes

Melissa D. Lehti-Shiu¹, Cheng Zou¹, Kousuke Hanada², Shin-Han Shiu¹

1. Department of Plant Biology, Michigan State University, East Lansing, MI 48824
2. Gene Discovery Research Group, RIKEN Plant Science Center, Yokohama, Kanagawa, 230-0045, Japan
This work is partially supported by NSF Grants DBl# 0638591 and MCB#0749634 to S-H.S.

**Corresponding Author:** Shin-Han Shiu

Email address: shius@msu.edu
ABSTRACT

Receptor-Like Kinase (RLK)/Pelle genes play roles ranging from growth regulation to defense response, and the dramatic expansion of this family has been postulated to be crucial for plant-specific adaptations. Despite this, little is known about the history of or the factors that contributed to the dramatic expansion of this gene family. In this study we show that expansion coincided with the establishment of land plants and that RLK/Pelle subfamilies were established early in land plant evolution. The RLK/Pelle family expanded at a significantly higher rate than other kinases, due in large part to expansion of a few subfamilies by tandem duplication. Interestingly, these subfamilies tend to have members with known roles in defense response, suggesting that their rapid expansion was likely a consequence of adaptation to fast-evolving pathogens. Arabidopsis thaliana expression data support the importance of RLK/Pelles in biotic stress response. We found that hundreds of RLK/Pelles are up-regulated by biotic stress. Furthermore, stress-responsiveness is correlated with the degree of tandem duplication in RLK/Pelle subfamilies. Our findings suggest a link between stress response and tandem duplication and provide an explanation as to why a large proportion of the RLK/Pelle gene family is found in tandem repeats. In addition, our findings provide a useful framework for potentially predicting RLK/Pelle stress functions based on knowledge of expansion pattern and duplication mechanism. Finally, we propose that the detection of highly variable molecular patterns associated with specific pathogens/parasites is the main reason for the up-regulation of hundreds of RLK/Pelles under biotic stress.
INTRODUCTION

The Receptor-Like Kinase (RLK)/Pelle protein kinase family is the largest gene family in Arabidopsis thaliana and rice, with > 600 and 1100 family members, respectively (Shiu and Bleecker, 2001; Shiu and Bleecker, 2003; Shiu et al., 2004). In contrast to plants, animals have a much smaller number of RLK/Pelles (Shiu and Bleecker, 2001). The Pelle kinase is the sole member of the RLK/Pelle family in Drosophila melanogaster and is involved in dorsal-ventral axis determination (Shelton and Wasserman, 1993; Hecht and Anderson, 1993) as well as in innate immunity (Belvin and Anderson, 1996). The human RLK/Pelle family includes four interleukin-1 receptor associated kinases that are involved in innate and adaptive immunity (Janssens and Beyaert, 2003). No RLK/Pelle genes have been identified in fungi (Shiu and Bleecker, 2003). These cursory surveys of various eukaryotic genomes indicate that dramatic expansion of the RLK/Pelle family appears to be limited to plants. In addition to the large family size, another important feature of plant members of the RLK/Pelle family is that they can have two main configurations: (1) Receptor-Like Cytoplasmic Kinases (RLCKs) lack an extracellular domain and (2) Receptor-Like Kinases (RLK) contain an intracellular kinase domain, a transmembrane domain, and an extracellular domain (ECD) with one or more of a diverse array of protein domains (Shiu and Bleecker, 2001). ECDs likely allow RLKs to respond to a variety of extracellular signals. These signals include those derived from the plant itself, such as the small protein ligand, CLAVATA3, which binds to the RLK, CLAVATA1, to restrict meristem proliferation (Ogawa et al., 2008) as well as those derived from microbes, including flagellin (Felix et al., 1999) and cell wall components such as chitin and peptidoglycans (Madsen et al., 2003; Radutoiu et al., 2003; Miya et al., 2007; Wan et al., 2008). Fusions between diverse ECDs with kinase domains provide a source of innovation in signaling networks by linking novel inputs to existing response networks. It is therefore likely that the evolutionary success of this gene family is tied to the need for a diverse array of receptors to perceive highly variable, and in the case of biotic agents, rapidly evolving stimuli (Shiu and Bleecker, 2001).

As suggested by the diversity and size of the RLK/Pelle family, its members are involved in many different processes in plants. RLK/Pelle members function in a wide range of developmental processes such the regulation of meristem proliferation (Clark et al., 1997; DeYoung et al., 2006), organ specification (Torii et al., 1996; Muto et al., 2004; Shpak et al., 2004; Kwak et al., 2005; Chevalier et al., 2005; Eyuboglu et al., 2007), reproduction (Albrecht et al., 2005; Colcombet et al., 2005; Escobar-Restrepo et al., 2007), and hormone signal transduction (Li and Chory, 1997; Li et al., 2002; Nam and Li, 2002; Zhou et al., 2004). Other RLK/Pelle members function in signaling networks involving environmental stimuli, both abiotic (Sivaguru et al., 2003; Hou et al., 2005) and biotic (Song et al., 1995; Gomez-Gomez and Boller, 2000; Endre et al., 2002; Stracke et al., 2002; Chen et al., 2003; Fontes et al., 2004; Sun et al., 2004; Diener and Ausubel, 2005; Florentino et al., 2006; Zipfel et al., 2006; Acharya et
In some cases, RLK/Pelle genes exhibit multi-functionality, playing roles in both development and defense (for review, see Bent and Mackey, 2007).

A great deal of research has focused on the roles of RLK/Pelle genes in defense response. RLK/Pelle genes have been shown to play roles in basal immunity, where components such as flagellin and chitin that are common to both pathogenic and nonpathogenic microbes (Microbe-Associated Molecular Patterns, or MAMPs), are perceived and lead to activation of defense signal transduction networks. Other RLK/Pelles function in resistance gene (R)-mediated defense where pathogen-specific effectors are recognized (Bent and Mackey, 2007). For example, FLS2 and EFR are MAMP receptors (Gomez-Gomez and Boller, 2000; Zipfel et al., 2006) and the R gene Xa21 mediates resistance to the rice blast pathogen, Xanthomonas oryzae (Song et al., 1995). It is thought that many more RLK/Pelle members may function as either MAMP receptors or R genes, and that they might be identified based on characteristics such as type and/or structure of ECD, subfamily classification, mode of gene duplication, expression pattern after treatment with pathogens, or kinase sequence signature (Shiu et al., 2004; Dardick and Ronald, 2006; Thilmony et al., 2006; Afzal et al., 2008).

The ability to predict RLK/Pelle functions would be extremely useful because the vast majority of them still do not have known functions. This is particularly true for genes in RLK/Pelle subfamilies that have undergone dramatic expansion and those that are derived from tandem duplication. Therefore, we set out to determine the relationship between the diversity of RLK/Pelle genes, the degree of RLK/Pelle family expansion, duplication mechanism, and plant stress responses. We first conducted a computational analysis of the RLK/Pelle family using genomic information from four land plants and two green algae to determine: (1) when the receptor configuration arose, (2) how often new RLK/Pelle subfamilies have been created, and (3) how subfamilies differ in their patterns of expansion and loss in different plant lineages. To better understand the properties of RLK/Pelle genes that are involved in stress response, we used publicly available Arabidopsis microarray data to identify RLK/Pelle genes that are responsive to abiotic and biotic stresses. We then asked if stress responsiveness of RLK/Pelle members is correlated with patterns of lineage-specific expansion and duplication mechanism, and how well characteristics of RLK/Pelle genes can predict stress responsiveness. Our findings indicate a significant positive correlation between RLK/Pelle subfamily expansion, the degree of tandem duplication, and stress responsiveness as well as complex interactions between tandem duplication, expansion and receptor configuration. Based on these results we discuss why there are hundreds of stress-responsive RLK/Pelle genes.

RESULTS AND DISCUSSION
The evolutionary history of RLK/Pelle family expansion in Viridiplantae

Our earlier studies established that the RLK/Pelle family has expanded dramatically in rice and Arabidopsis (Shiu and Bleecker, 2001, Shiu and Bleecker, 2003, Shiu et al., 2004). However, it is not clear when the RLK/Pelle family expanded and why there are such large differences in family size between Arabidopsis and rice. To address these questions, we first identified ser/thr/tyr protein kinase domain-containing protein sequences (referred to as “kinase”) from two algae: Ostreococcus tauri (Derelle et al., 2006) and Chlamydomonas reinhardtii (Merchant et al., 2007) and four land plants: Physcomitrella patens spp. patens (moss; Rensing et al., 2008), Orysa sativa cv japonica (rice; IRGSP, 2005), Populus trichocarpa (poplar; Tuskan et al., 2006), and Arabidopsis (Arabidopsis Genome Initiative, 2000). Kinase domain sequences from all six species were aligned and used to construct a phylogenetic tree. Protein kinases were then classified based on grouping with known kinase families from Arabidopsis and rice (see Materials and Methods).

The availability of sequences from these six genomes allowed us to examine the evolutionary trajectories of protein kinases throughout the evolution of the land plants, from the transition to land to the divergence of nonvascular and vascular plants to the divergence of monocots from dicots (Figure 1). First, we examined the kinase superfamily in two green algae (O. tauri and C. reinhardtii) to determine if RLK/Pelle members were present and if the receptor configuration (that is, extracellular domain (ECD) + kinase) had been established before the green algae diverged from the land plants ~10^9 years ago (Table I; Yoon et al., 2004). Of the 424 kinases in C. reinhardtii, only two are RLK/Pelles, and the predicted proteins do not have recognizable ECDs. O. tauri, the smallest known free-living eukaryote (Courties et al., 1994), has 93 kinases but lacks any recognizable RLK/Pelle. RLK/Pelles have also been isolated from the multicellular alga Nitella axillaries and the unicellular alga Closterium ehrenbergii (Sasaki et al., 2007). Most interestingly, transmembrane domains and/or domains in the ECDs of land plant RLKs are found in C. ehrenbergii and N. axillaries RLK/Pelle genes. For example, the C. ehrenbergii RLK/Pelle gene, CeRLK8, has Leucine-Rich Repeats (LRRs) in its ECD. Based on the parsimony assumption, the receptor configuration was likely established before the divergence of land plants from charophytes (including C. ehrenbergii and N. axillaries), but before the divergence of charophytes from chlorophytes (including C. reinhardtii and O. tauri) (Bhattacharya and Medlin, 1998).

Based on cDNA evidence, at least 29 RLK/Pelle genes are present in Marchantia polymorpha (liverwort; Sasaki et al., 2007), indicating that the RLK/Pelle family began to expand early in the land plant lineage. In moss, a relatively large number (329) of RLK/Pelles are found, including 136 RLKs and 193 RLCKs (Table I). It is clear that the RLK/Pelle family continued to expand in the vascular plant lineage after the divergence from the moss lineage since there are 1.9, 3.3, and 3.6 times as many members in Arabidopsis, rice, and poplar, respectively, compared to moss. Based on estimated numbers of ancestral RLK/Pelle genes, the most dramatic expansion occurred immediately following the divergence of the vascular plants and independently in the rice and poplar lineages (Figure 1, Supplemental Figure S1).

Interestingly, the number of all other non-RLK/Pelle kinases is ~400 in C. reinhardtii and all the land
plants, indicating that variation in the RLK/Pelle family is the major contributor to variation in the kinase superfamily size among plant species (Table I). In fact, in all lineages except for the Arabidopsis lineage, RLK/Pelles expanded at a higher rate than other kinases (Supplemental Figure 1).

Note that the RLK/Pelle family can be subdivided into multiple subfamilies based on phylogenetic relationships between members, and RLK/Pelle genes with related kinase sequences tend to have similar extracellular domains (ECDs, Shiu and Bleecker, 2003; Shiu et al., 2004). Variation in the number of RLK/Pelle family members among land plants may reflect substantial differences in subfamily composition among species. Therefore, to understand how the RLK/Pelle subfamilies diversified over land plant evolution, we next analyzed the RLK/Pelle subfamily composition in each species and expansion rates for each subfamily.

**Rate of RLK diversification via domain fusion**

The diversity of ECDs makes the RLK/Pelle family one of the most versatile plant gene families; members are capable of recognizing a wide range of ligands. In addition, some subfamily members have different predicted protein domains within the ECD or lack ECDs completely compared to other members of that subfamily (Shiu and Bleecker, 2003; Shiu et al., 2004). New receptors may be able to broaden plants' abilities to sense extracellular signals and to link those signals to existing signaling networks.

There are two outstanding questions regarding the domain content evolution of RLK/Pelle members. The first is when the different RLK/Pelle subfamilies, defined based on kinase sequence similarity, were established. The second is how frequently novel RLKs with distinct ECDs arose.

To evaluate how many different RLK/Pelle subfamilies were established in the Arabidopsis-Poplar-Rice-Moss (APRM) common ancestor, we first determined which subfamilies were present in each of the four plant species (Figure 2). Strikingly, most RLK/Pelle subfamilies (44 out of 57 or 77%) are found in moss. Moreover, there are only a few species-specific subfamilies, including two moss-specific RLCK families and one poplar-specific family. Note that previously we identified rice-specific subfamilies including RLCK-OS1-4 and WAKL-OS (Shiu et al., 2004), however, in the current study, these rice genes did not clearly resolve into separate subfamilies after adding poplar and moss sequences. We found that most subfamilies with receptor kinase members are conserved (32 out of 37), usually with most subfamily members having the same extracellular domains (Figure 2, Supplemental Table S1). In addition, 16 RLK/Pelle subfamilies are found in liverwort (Sasaki et al., 2007). Therefore, many events that resulted in the fusion between ECDs and kinase domains occurred early in land plant evolution, at least prior to the divergence between liverwort and the rest of the land plant lineages. Notable exceptions to the early establishment of receptor kinases are the vascular plant-specific DUF26, LRK10L-2, SD1, and WAK subfamilies, raising the question of how frequently novel receptors have arisen during land plant evolution.

Using the presence of a protein domain different from the majority of subfamily members as an indication of potential domain gain, we found that innovation in receptor configuration has occurred in all land plant lineages analyzed (red rectangles, Figure 2; Supplemental Table S2). In most cases, the
domains gained, such as thaumatin, LysM, LRR, and DUF26, are not novel in the sense that they are
already found in other RLK subfamilies. However, these "old" domains were paired with kinases from
different RLK subfamilies with potentially different downstream components. In addition, several RLCKs
have predicted signal sequences and transmembrane regions that resemble ECDs. However, these
putative ECDs have no known protein domains and it remains to be seen if they are truly cell surface
receptors (Supplemental Table S1). One common feature among these newly acquired protein domains
in the ECDs (Figure 2; Supplemental Table S2) is that they have been found in genes implicated in
defense response, and several have been shown to bind pathogen components or have the potential to
do so (Perrakis et al., 1994; Song et al., 1995; Gomez-Gomez and Boller, 2000; Santelli et al., 2004;
Kaku et al., 2006; Miya et al., 2007; Wan et al., 2008).

Taken together, the potential for many of these newly acquired domains to recognize microbial
components underscores the importance of the RLK/Pelle family in recognition of plant pathogen
components and suggests that repeated innovation through domain acquisition was likely selected for.
Although RLK domain content is rather dynamic, we should emphasize that receptor configuration has
remained largely the same since the divergence of the vascular plants from moss. Only 11 clear
examples of domain gain events in the flowering plant lineage have occurred in the past 150 million years
(Supplemental Table S2; Chaw et al., 2004). Overall, it appears that dramatically changing the
configuration of RLKs is not the most common way in which novel RLKs were established. Instead, the
expansion of existing RLK/Pelle kinase subfamilies seems to have played the major role given the large
size of the RLK/Pelle family in land plants (Figure 1, Table I).

**RLK/Pelle expansion at the subfamily level**

To obtain a more detailed picture of RLK/Pelle expansion we next looked at the expansion
patterns of individual RLK/Pelle subfamilies among different branches in the four species tree. A heat
map of expansion rates clearly shows that subfamilies have expanded at different rates during land plant
evolution (Figure 3). One of the most striking features is the expansion of the majority of subfamilies in
the APRM-APR (branch 2) and the poplar (branch 5) lineages. Although loss (negative expansion rate)
has occurred in many subfamilies in the rice (branch 6) and Arabidopsis (branch 4) lineages, many
subfamilies have expanded in parallel with poplar. Interestingly, some members in most of the extensively
expanded subfamilies (Figure 3), including DUF26, LRR10L-2, LRR-I, LRR-XII, SD1, SD2b, and WAK,
have been implicated in biotic stress responses in Arabidopsis and/or other plants (Feuillet et al., 1997;
He et al., 1998; Gomez-Gomez and Boller, 2000; Ohtake et al., 2000; Endre et al., 2002; Stracke et al.,
2002; Robatzek and Somssich, 2002; Chen et al., 2003; Diener and Ausubel, 2005; Chen et al., 2006;
Zipfel et al., 2006; Acharya et al., 2007). Consistent with the acquisition of ECDs implicated in defense
response, substantial expansion of RLK/Pelle subfamilies with members involved in biotic interactions
may have been selected for and retained.

It is intuitive that diversification of RLKs, particularly of their ECDs, was selected for to allow
continued detection of rapidly evolving biotic signals. It is less clear why RLCK subfamilies, which mostly
lack members with ECDs, have also undergone expansion, particularly immediately following the
1 divergence from moss (Figure 3). Because RLCKs lack an ECD, they may not be directly involved in the
2 perception of extracellular signals, particularly those derived from pathogens. For example, the RLCK PTI
3 helps mediate resistance to P. syringae, but does not appear to interact with effector proteins directly
4 (Zhou et al., 1995). However, there are a couple of examples where RLCKs (PTO and PBS1), interact
directly with P. syringae effector proteins (Scofield et al., 1996; Tang et al., 1996; Shao et al., 2003).
5 Therefore, it is possible that diversification of RLCKs may be important for the perception of intracellular
6 biotic signals. It can also be argued that the differential expansion of RLCK (as well as RLK) subfamilies
7 is due to random genomic drift, such as has been postulated for animal chemosensory receptor gene
8 families (Nozawa et al., 2007; Nei et al., 2008). In this view, the large differences in gene family size are a
9 largely the result of random gene duplication and loss and may not always reflect an adaptive advantage.
10 In some cases, a larger gene family size generated by random forces provides a selective advantage and
11 allows a group of individuals to occupy a new niche (Nozawa et al., 2007). Further amplification of the
12 gene family by random genomic drift may then occur (Nei et al., 2008). However, the random drift
13 hypothesis does not fully explain why the RLK/Pelle families have expanded independently in multiple
14 plant lineages. Given the functions of known RLK/Pelles, their ability to perceive signals and regulate the
15 propagation of signals, and the finding that expansion tends to involve genes responsive to stress (see
16 next section), we argue that some form of adaptive evolution must have occurred in this family in addition
to genomic drift.

Stress responsiveness of RLK/Pelle members in Arabidopsis

We have shown that lineage-specific expansion occurred in RLK/Pelle subfamilies with members
1 that have been implicated in biotic stress response. In addition, the novel extracellular protein domains
2 that have been acquired tend to be those involved in biotic interactions. Although these findings suggest
3 that many members of this gene family are likely involved in plant defense responses, functional data for
4 RLK/Pelles remain scarce. Our findings also suggest that expansion of this gene family is likely a
5 consequence of the selection pressures imposed by pathogens. However, this interpretation necessarily
6 requires the establishment of a relationship between expansion of this gene family and stress
7 responsiveness. Using the publicly available AtGenExpress microarray data (Kilian et al., 2007, see
8 Materials and Methods), we asked whether RLK/Pelle genes are more likely to be involved in stress
9 response than other Arabidopsis genes, and whether stress responsive RLK/Pelle subfamily members
10 tend be those involved in lineage-specific expansion.

Because several RLK/Pelles have roles in plant-pathogen interactions (Song et al., 1995; Sun et
11 al., 2004; Gomez-Gomez and Boller, 2000; Zipfel et al., 2006) and abiotic stress (Sivaguru et al., 2003;
12 Osakabe et al., 2005), we first asked whether RLK/Pelles are more likely to be up- or down-regulated by
13 abiotic or biotic stress conditions than other Arabidopsis genes. We found that the number of up-
14 regulated RLK/Pelles is significantly overrepresented for several of the stress conditions tested (Table II).
In particular, RLK/Pelle genes are significantly enriched in up-regulated genes for seven of the eight biotic
stress conditions we examined (Chi-square tests, \( \alpha = 5\% \)). Interestingly, RLK/Pelle genes are also enriched in down-regulated genes for nine conditions. This may reflect the fact that while many RLK/Pelle genes are involved in stress response, many others have roles in development and may be down-regulated because of the need for resource allocation upon stress treatment.

The only biotic condition where the RLK/Pelle family is not significantly enriched in up-regulated genes is treatment with *Pseudomonas syringae* pv tomato (Pst) DC3000 ([Table II](#)), a virulent bacterial strain capable of infecting Arabidopsis (Dong et al., 1991; Whalen et al., 1991). The other Pst strains in this dataset, avrRpm1 and HrcC-, are avirulent due to recognition of avrRpm1 by the Arabidopsis protein RPM1 and a mutation in *HrcC* that prevents the formation of a functional Type III secretion system (Grant et al., 1995; Deng et al., 1998). Importantly, a significant number of RLK/Pelles are up-regulated when treated with *Pseudomonas syringae* pv *phaseolicola* (Psph), a bacterial pathogen of bean that does not cause disease in Arabidopsis but still activates defense networks (Lindgren et al., 1986; Ham et al., 2007). Several genes including RLK/Pelles are up-regulated specifically by avirulent *P. syringae* but are targeted for down regulation by DC3000 (Thilmony et al., 2006). Therefore, the fact that RLK/Pelles as a whole tend to be up-regulated specifically by avirulent *P. syringae* is consistent with the hypothesis that RLK/Pelle genes are involved in basal defense networks suppressed by DC3000.

**Divergence in stress responsiveness at the subfamily level**

We showed that RLK/Pelle family members are significantly over-represented among stress response genes, particularly under biotic stress conditions ([Table II](#)). To determine the contribution of different subfamilies to this over-representation, we looked for enrichment in genes up- and down-regulated by each stress condition in each subfamily. Interestingly, several subfamilies are broadly overrepresented in genes up-regulated by most biotic stresses including DUF26, L-LEC, LRR-I, LRR-VII-2, LRR-Xb, RLCK-VIIa, SD1, and SD-2b, WAK, and WAK_LRK10L-1 (red arrows, [Figure 4](#)). In addition, members of subfamilies do not appear to respond significantly to a particular biotic agent. For example, the LRR-XII subfamily (green arrow, [Figure 4](#)), of which EFR and FLS2 are members, is enriched for genes up-regulated by the bacterial flagellin elictor flg22 as well as the oomycetous fungal pathogen *Phytophthora infestans*. Therefore, signaling networks leading to RLK/Pelle up-regulation seem to overlap upon treatment with different pathogens.

There is also an overlap between subfamilies with members induced by biotic and abiotic stresses. RLK/Pelle subfamilies tend to be enriched in genes up-regulated mainly by UV-B, wounding, and osmotic stress ([Figure 4](#)), and these subfamilies tend to be those that are enriched in genes up-regulated under biotic stress conditions as well. The overlap between RLK/Pelle genes up-regulated by UV-B and biotic stress is particularly striking; for example, 80% of RLK genes up-regulated by *P. syringae* pv *phaseolicola* are also up-regulated by UV-B. Previous studies have also revealed an overlap between genes induced by herbivory and UV-B (Izaguirre et al., 2003), systemin-binding and UV-B (Yalamanchili and Stratmann, 2002; Holley et al., 2003; Ulm and Nagy, 2005), as well as wounding and other abiotic
and biotic stresses (Walley et al., 2007), indicating that some abiotic and biotic signals lead to the induction of similar gene sets.

Taken together, we found 284 out of the 577 (49%) Arabidopsis RLK/Pelle genes for which microarray data are available are up-regulated by ≥1 stress conditions, supporting the idea that the RLK/Pelle family plays an important role in stress response, particularly under biotic conditions. In general, the expression patterns reflect what is known about functions of RLK/Pelle genes (Figure 4), indicating that it is appropriate to use expression as a proxy for RLK/Pelle function. Most importantly, we show that some subfamilies contain members that are consistently up-regulated under biotic stress conditions. Interestingly, most of these subfamilies have experienced substantial expansion (Figure 3).

Relationship between degree of expansion, duplication mechanism and stress responsiveness

Based on the functions of a limited number of RLK/Pelles, we previously hypothesized that RLK/Pelle genes located in tandem clusters tend to have roles in stress/defense response (Shiu et al., 2004). Several studies have shown that membrane-bound proteins and proteins containing kinase domains are over-represented in tandem repeats compared to other proteins (Shiu et al., 2004; Rizzon et al., 2006; Tuskan et al., 2006; Hanada et al., 2008). In addition, we have shown that tandem duplicates created by lineage-specific expansion tend to be involved in stress response in plants (Hanada et al., 2008). Therefore, we next asked if duplication mechanism (i.e. tandem vs. non-tandem) is a predictor of RLK/Pelle function in stress response and if expansion of RLK/Pelle subfamilies is correlated with the degree of tandem duplication.

We found that 50%, 39%, and 30% of RLK/Pelle family members are found in tandem repeats in rice, poplar and Arabidopsis, respectively. For example in Arabidopsis, this represents a significant enrichment of RLK/Pelles in tandem repeats compared to other genes (p < 1.0e-20). The percentage of subfamilies in tandem repeats and the expansion rate for each subfamily is significantly and positively correlated (Supplemental Figure S2), indicating that much of the lineage-specific expansion of RLK/Pelle subfamilies was due to tandem duplication. In addition, RLK/Pelles in tandem repeats are more likely than non-tandem RLKs to be up-regulated by biotic stress conditions and UV-B (Table II). In contrast, down-regulated RLK/Pelles are more likely to be non-tandem duplicates (Table II). Our findings suggest that by knowing the mechanism of gene duplication we can predict which RLK/Pelles are likely to be up-regulated by biotic stress.

To further test the relationship between stress responsiveness and tandem duplication, we devised a measure of stress responsiveness. We first determined fold change, $F_C$, in expression upon stress treatment for each condition, using the maximum or minimum fold change among the time points when considering up-regulation down-regulation, respectively. $F_C$ values of an RLK/Pelle were then summed across conditions (both biotic and abiotic) and averaged across genes in a subfamily to generate a subfamily-wide “responsiveness” measure, $F_S$ (sum of fold changes). Responsiveness for each subfamily with ≥ 4 members with ≥ 2 of them tandem was plotted against the percentage of tandem duplicates found in that subfamily. Up- and down-regulation were evaluated separately. Among tandem
RLKs, there is a significant positive correlation between $F_S$ and the percentage of tandem subfamily members for up- but not down-regulation (Figure 5). Interestingly, when conditions were tested individually, a significant correlation was observed for most biotic stress conditions and for three abiotic conditions (Supplemental Table S3). This result is consistent with the hypothesis that tandemly arrayed RLK/Pelles tend to be expressed under stress conditions, particularly biotic, and presumably have a role in stress response. Furthermore, the more tandem members a subfamily has, the more “stress-responsive” that family is. This does not mean that only tandem RLK/Pelles are stress responsive, however. For example, the SD-2b subfamily has no tandem duplicate subfamily members but has a higher responsiveness ($F_S$) than DUF26, which has a high proportion (81%) of tandem duplicates.

Because the proportion of tandem RLK/Pelle subfamily members is positively correlated with expansion rates (Supplemental Figure S2), we also expected and found a positive relationship between expansion rate of subfamilies and stress responsiveness of subfamily members (Supplemental Figure S3). Previously we speculated that there is a correlation between stress functions of RLK/Pelles, tandem duplicates, and genes derived from lineage-specific expansion (Shiu et al., 2004). Our findings are consistent with this observation, but we should emphasize that the positive correlations between responsiveness and the percentage of subfamily members in tandem repeats as well as between responsiveness and expansion rate are significant only when looking at subfamilies that have tandem members or have $> 0$ expansion rate, respectively. This is due to the fact that non-expanded and non-tandem subfamilies are also stress responsive.

**Factors affecting RLK/Pelle stress responsiveness**

Our findings so far highlight several important characteristics of RLK/Pelles that are responsive to biotic stress. We reasoned that it might be possible to predict stress responsiveness of RLK/Pelles based on knowledge of duplication mechanism, expansion, subfamily identity, and other characteristics that we and others have established to be important predictors of biotic stress responsiveness. We employed multiple regression to test the combined effects of (1) tandem duplication (tandem vs. non-tandem, T), (2) receptor configuration (RLK vs. RLCK, R), (3) lineage-specific expansion (member of an expanded or non-expanded subfamily, E), and (4) kinase signature sequence (non-RD vs other, K) on biotic stress responsiveness (see Materials and Methods).

The adjusted $r^2$ value for the model is significant ($p < 2.8e-08$), but small (0.077), explaining only 7.7% of the variance in biotic stress responsiveness. There are clearly additional unidentified factors that impact stress responsiveness among RLK/Pelle genes. It is also possible that the measure for responsiveness was not entirely satisfactory since we only considered the averaged effects of genes over multiple conditions. In addition, the timing of up-regulation may be crucial. This simplified model does, however, reveal several interesting features of the RLK/Pelle family, particularly interactions between factors. (Table III). Surprisingly, RLKs have a lower biotic stress responsiveness compared to RLCKs (Table III), and no significant effect of tandemness ($T$) alone was observed. However, the significant $T*R$
term indicates that the effect of $T$ is dependent upon receptor configuration ($R$). Tandem RLKs tend to have a higher stress responsiveness than non-tandem RLKs, whereas there is no significant difference between tandem and non-tandem RLCKs. Instead, RLCKs belonging to expanded subfamilies have a higher stress responsiveness than RLCKs belonging to non-expanded subfamilies (see interaction plots in Supplemental Figure S4). Taken together, these results suggest that tandem duplication is a predictor of RLK stress responsiveness while expansion may be a predictor of stress responsiveness for RLCKs. In addition to these factors we expected to see a significant effect of kinase signature sequence (modeled as the parameter $K$) because of the correlation between non-RD kinase sequence signature and role in MAMP perception (Dardick and Ronald, 2006). A significant effect of $K$ alone was not observed; however, non-RD kinases belonging to non-expanded subfamilies may have a higher biotic stress responsiveness than those with other kinase signatures (Table III; Supplemental Figure S4).

We note that regression coefficients reported in Table III must be interpreted with caution because of potential multicollinearity among the predictors (see Materials and Methods). However, this model does reveal important trends. In particular, the fact that tandem RLKs but not RLCKs have a higher median stress responsiveness was not evident from looking at the effect of tandem duplication alone. This interaction is consistent with a whole genome study of gene ontology categories and stress responsiveness that showed that tandemly duplicated membrane bound proteins were more likely to be stress responsive than non-tandem proteins but that the same trend was not apparent for intracellular signal transducers (Hanada et al., 2008). The identification of other factors such as cis-elements and the use of better measures of stress responsiveness will help improve this model and our ability to predict the functions of RLK/Pelle genes.

**CONCLUSION**

Based on the analysis of the RLK/Pelle gene family in algae and land plants, we found that the receptor kinase configuration was likely established prior to the divergence between algae and land plants. In addition, most receptor kinase subfamilies were established before the divergence of vascular plants from moss. One of the common themes in RLK/Pelle evolution appears to be a history of selection for innovation, either by domain acquisition or expansion, in biotic response signaling. Supporting the importance of RLK/Pelle genes in stress response, our analysis of microarray expression data revealed that RLK/Pelles are more responsive to stress, particularly biotic stress, than Arabidopsis genes in general. Among stress-responsive RLK/Pelle genes, significantly more tandem genes are responsive to stress than non-tandem genes. Previous studies showed that genes involved in biotic stress response tend to be located in tandem repeats (Rizzon et al., 2006; Tuskan et al., 2006; Hanada et al., 2008), and our findings indicate the RLK/Pelle family is a major contributor to the over-representation of tandem genes among stress responsive genes.
Why are RLKs that have functions in biotic stress more likely to be found in tandem repeats?

Tandem duplication occurs on a much shorter time scale than other duplication mechanisms such as whole genome duplication and is positively correlated with recombination rates (Zhang and Gaut, 2003). It has been demonstrated that recombination rates increase when plants are under stress (Molinier et al., 2006), raising the intriguing possibility that stress might result in increased tandem duplication and therefore duplication of the genes found in tandem repeats. Duplication of RLK/Pelle genes involved in biotic stress via tandem duplication might allow selection for the detection of diverse pathogens. We speculate that the rapid lineage-specific expansion of RLK/Pelle genes with stress functions via tandem duplication lead to an increased ability to perceive signals from pathogens and was a major factor contributing to the rapid parallel expansion of the RLK/Pelle family in land plants. We also showed that, although expansion and duplication mechanisms are significantly correlated with RLK/Pelle genes that are stress regulated, these factors can only explain a small portion of the variance in stress responsiveness. In addition to alternative ways to define stress responsiveness, it is also necessary to identify other properties of RLK/Pelles that can be important determinants of how they respond to stress. One potential parameter is the level of sequence variation of a given RLK/Pelle in natural populations. This is because RLK/Pelles involved in biotic stress responses are likely subjected to strong positive selection due to the intense selection pressure imposed by biotic agents.

If RLK/Pelle genes are mostly involved in detecting molecular components of pathogens, intuitively, most of these detectors should be expressed constitutively. The underlying assumption is that, to recognize the pathogen components efficiently, the signal perception mechanism should be present at all times. But why are hundreds of RLK/Pelle genes induced by pathogen treatment? In yeast it has been shown that the function of some genes induced by a stress condition is not to survive that stress, but to prepare the cell to survive future stresses (Berry and Gasch, 2008). Similarly, the induction of RLK/Pelles might be required to prepare the plant to respond to additional threats. Supporting this idea, Navarro and colleagues found that treatment with flg22, a bacterial MAMP, induces the transcription of genes that may respond to other signals from the same bacteria and even mediate resistance to other non-bacterial pathogens such as fungi, oomycetes, and viruses (Navarro et al., 2004). This led them to propose that the up-regulation of RLKs and R genes after flg22 treatment indicated an interaction between MAMP signaling pathways and an increased ability to recognize pathogens. We propose a model that expands upon this idea to explain why so many RLK/Pelle genes are up-regulated by stress conditions. This two-stage model, the “Receptor Swarm Hypothesis” (Supplemental Figure S5), involves (1) perception and signaling of MAMPs by RLK/Pelles that are constitutively expressed and (2) induction of a large number of RLK/Pelles for detecting specific pathogens/parasites. Since MAMP receptors, such as FLS2, are activated by molecular patterns from both pathogens and non-pathogens, the plant must then make a decision about whether to mount a defense response after MAMP perception. We hypothesize that this decision is made by presenting a large number of potential receptors, including products of the RLK/Pelle genes, and a strong defense response is mounted if any molecular patterns result in the activation of up-
regulated receptors. There are multiple direct and indirect pieces of evidence that RLK/Pelle genes are central to biotic defense responses, judging from the fact that loss-of-function of these RLK/Pelles abolish or diminish plant defense (Song et al., 1995; Gomez-Gomez and Boller, 2000; Sun et al., 2004; Diener and Ausubel, 2005; Chen et al., 2006; Wan et al., 2008). In addition, stress-induced gene expression is a regulated phenomenon that likely has important biological consequences. Therefore, the assumption in the model that RLK/Pelles are capable of eliciting defense response upon activation is likely correct. Because these induced RLK/Pelles may be directly involved in sensing the presence of pathogens/parasites, this model also implies that these RLK/Pelles must be fast evolving due to strong selection on pathogens/parasites to evade detection. This is consistent with the high expansion rate as reported here and the significantly higher sequence variation of this gene family among Arabidopsis populations (Clark et al., 2007).

Although this model may explain why many RLK/Pelles are induced by biotic stress, there are several outstanding questions. First, how are these stress responsive RLK/Pelle transcripts regulated at the translational and post-translational levels? Second, what molecular patterns are perceived by these RLK/Pelles? Are they simply derived from pathogens/parasites or are they molecular complexes of pathogen/parasite and host components? Given the size of the RLK/Pelle family, can a single molecular pattern be detected by multiple RLK/Pelles or vice versa? Third, how is the defense response elicited? Does it involve one or a few active RLK/Pelles that provide most of the signals for triggering defense response? Or is there integration of signal inputs from tens or even hundreds of RLK/Pelles? Finally, it has been shown that disease resistance genes reduce fitness significantly in the absence of pathogen (Tian et al., 2003). This not only provides a good reason for not turning on these potential resistance genes but also raises the question of what is the fitness cost in up-regulating hundreds of RLK/Pelles that are potential agents of cell death. Answers to these questions will provide not only more detailed understanding of RLK/Pelle functions but also the selection pressures that contribute to the expansion of this gene family and its rapid evolution.

MATERIALS AND METHODS

Sequence retrieval and alignment

An HMM search of the predicted protein sequences of Arabidopsis thaliana (TAIR, version 6), Populus trichocarpa (JGI, version 1.1), Oryza sativa subsp japonica (IRGSP, version 4), Physcomitrella patens (JGI, version 1.1), Chlamydomonas reinhardtii (JGI, version 3), and Ostreococcus tauri (JGI, version 4) was done to identify sequences containing Ser/Thr/Tyr kinase domains. The “trusted cutoff” of the kinase domain HMM established by Pfam was used as the threshold for detecting kinase domains. Kinase domain protein sequences from all six species were aligned by profile alignment with seed sequences from animal and plant Ser/Thr/Tyr kinases Shiu and Bleecker, 2001 in ClustalW (Supplemental Figure S6; Higgins et al., 1996 with the Gonnet matrix, gap opening penalty of 10 and
extension penalty of 0.2. Some proteins had two kinase domains, and these were concatenated before alignment.

**Phylogenetic analysis, subfamily classification, orthology inference**

Based on the alignments of kinase domain sequences, first a phylogenetic tree of the kinase domain-containing sequences for all six species was generated with the Neighbor-Joining method (Saitou and Nei, 1987) with Poisson correction ([Supplemental Figure S7](#)). Kinase families were then defined based on clustering with classified kinase sequences from Arabidopsis and rice (Shiu et al., 2004) in the phylogenetic tree ([Supplemental Table S4](#)). RLK/Pelle kinases were further classified into subfamilies based on the classification of Shiu et al. (2004). Among 5,290 RLK/Pelle sequences, 865 could not be confidently assigned to known subfamilies. To classify these sequences, the kinase domain sequences of these unclassified RLK/Pelles were used in a BLAST (Altschul et al., 1997) search against the kinase domains of the classified sequences. The unclassified sequences were then tentatively assigned to the subfamily of their top match. To further refine the classification, phylogenetic trees were generated for each subfamily. First, full length sequences for each subfamily were aligned using ClustalW (Thompson et al., 1997) with a Blosum 65 matrix, 5.0 gap opening and 10.0 gap extension penalties. Protein distances among subfamily members were then estimated with PHYLIP (Felsenstein, 2005) using the JTT matrix and the gamma correction with a coefficient of variation of 0.3126. Based on JTT model with gamma correction, a ML tree for each subfamily was generated with RaxML (Stamatakis et al., 2005) and rooted using Raf kinase At1g18160 and Aurora kinase At2g25880 as out-groups since these two kinases belong to families other than RLK/Pelle. In some cases, putative RLK/Pelle family members that failed to cluster with the assigned subfamily were assigned to new subfamilies. Those that failed to be in the RLK/Pelle clade in the ML trees were excluded from further RLK/Pelle family analysis. Inference of orthologous groups and ancestral gene number was done by reconciling the ML subfamily trees with the species tree of four plants using Notung (Chen et al., 2000).

**Determination of expansion rate**

Each orthologous group indicates the presence of one ancestral gene in the common ancestor of species included in the orthologous group. Therefore, the total number of orthologous groups is used as an estimate for ancestral gene number. Depending on whether subfamilies contained genes from all species making up the orthologous groups, we estimated ancestral gene numbers two different ways. In the first approach, the ancestral number of genes at each internal node shown in [Figure 1](#) was calculated only when at least one subfamily member was present in all species being compared. Alternatively, we also took into account cases where a subfamily was absent in one or more species by assuming at least one ancestral gene was present in the common ancestor and the absence of subfamily members in some species was due to gene loss. Therefore, two sets of expansion rates were generated: “shared”, where only subfamilies present in all species compared are included in the calculation of expansion rate, and “all”, where all subfamilies are included and the number at the ancestral node for species lacking those
subfamilies is assumed to be one. The results were very similar, so here we only present the results for
shared subfamilies.

Dominant family designation and tandem duplicate definition

To define domain configuration, full-length RLK/Pelle sequences were queried against the
SMART (Schultz et al., 2000; Letunic et al., 2006) and PFAM (Finn et al., 2008) databases to annotate
extracellular domains. RLK/Pelles that did not have a receptor configuration (defined by the lack of a
signal sequence OR transmembrane domain, or a predicted ECD < 30 amino acids) were defined as
Receptor-like Cytoplasmic Kinases (RLCKs). Subfamily names, however, were determined based on
clustering of a gene within an existing subfamily; therefore, an RLCK may belong to a subfamily named
after protein domains found in the extracellular regions or vice versa. Tandem RLK/Pelle genes in
Arabidopsis, poplar, rice and moss were defined as those genes that 1) are less than or equal to 10
genes apart, 2) belong to the same subfamily and 3) are within 100 kb (Arabidopsis and moss) or 350 kb
(poplar and rice). Because shotgun sequencing was used to sequence the poplar and moss genomes,
many scaffolds remain unassigned to linkage groups and the number of tandem duplicates may be
underestimated.

Processing of microarray data

AtGenExpress stress microarray data were obtained from TAIR (Swarbreck et al., 2008). LIMMA
was used to identify genes that were significantly up- or down-regulated under each abiotic and biotic
stress condition compared to the mock-treated Col control. Data are available for several time points for
each condition; therefore, a gene was considered up- or down-regulated by a stress condition if
significant up or down regulation was observed at any time point. The genotoxic treatment was not
included in the analysis because no RLK/Pelle members were up or down regulated by these conditions.
Significant up- or down-regulation was determined using the moderated t-test in LIMMA at adjusted $p \leq
0.05$. The raw test $p$ values were adjusted based on false discovery rate (Storey and Tibshirani, 2003).

Linear model of stress responsiveness

Multiple regression analysis was done to test the importance of several factors on stress
responsiveness ($S$), defined as the highest fold change during the time course summed across eight
biotic stress conditions from the AtGenExpress stress microarray dataset. A box cox transformation was
done on $S$ to improve normality required for multiple regression analysis. $S$ included negative values;
therefore, 8.12 was added to each data point to bring the minimum value of $S$ to 1. The value, $\lambda = -0.315$,
that maximized normality was found using the box.cox.powers function in R (version 2.4.1; http://www.r-
project.org/), and the data were transformed according to the formula $Y'=(Y \lambda -1)/ \lambda$ (Sokal and Rohlf,
1995). The lm function in the R environment was used to fit the model:

$$S' = T + E + R + K + T'E + T'R + T'K + E'R + E'K + R'K + \epsilon$$
Where $S'$: box cox transformed data, $T$: tandem or non-tandem, $E$: expanded or non-expanded, $R$: RLK or RLCK, $K$: kinase signature sequence non-RD or other, and $\varepsilon$: error. Dummy variables were assigned a value of 1 and 0 with non-tandem, expanded, RLK, and other kinase sequence signature serving as the reference levels (assigned a value of 0). Regression coefficients therefore reflect the effect of the levels assigned a value of 1 (tandem, non-expanded, RLK, and non-RD). A q plot of residuals revealed two potential outliers, the LRR-XI subfamily members AT3G49670 and AT5G56040. The model was refitted after deleting these two data points. Deleting the potential outliers slightly reduced the predicted effect sizes of most factors but changed significance of only one term ($E*K$). Here we present the results obtained excluding these potential outliers. There is a potential for multicollinearity among factors, especially $T$ and $E$, to affect the interpretation of the effects of each factor. Using the vif function in the R environment, we calculated the variance inflation factor (VIF), a measure of how much of the variance is elevated due to correlation between factors. VIF values were 6.08, 5.52, 1.83, and 6.51 for $T$, $E$, $R$, and $S'$, respectively. The interaction terms had VIF values ranging from 2.8 to 6.8. This indicates that multicollinearity is a potential problem and the magnitude of regression coefficients should be interpreted with caution.

**SUPPLEMENTAL MATERIAL**

Supplemental Figure S1. Kinase expansion rates during land plant evolution.

Supplemental Figure S2. Correlation between the percentage of tandem duplicates per subfamily and subfamily expansion rate in Arabidopsis, poplar and rice.

Supplemental Figure S3. Relationship between subfamily stress responsiveness and subfamily expansion rate.

Supplemental Figure S4. Plots illustrating interaction effects determined by multiple regression.

Supplemental Figure S5. The Receptor Swarm Hypothesis.

Supplemental Figure S6. Kinase amino acid sequence alignments.

Supplemental Figure S7. Phylogenetic tree of kinases in Arabidopsis, poplar, rice, moss, *C. reinhardtii*, and *O. tauri*.

Supplemental Table S1. Predicted signal sequences, transmembrane domains, and ECD domains of RLK/Pelle genes.

Supplemental Table S2. RLK/Pelle genes that have gained new extracellular domains.

Supplemental Table S3. Summary statistics for regression of subfamily stress responsiveness (up-regulation) against the percentage of tandem subfamily members.

Supplemental Table S4. List of kinases identified in Arabidopsis, poplar, rice, moss, *C. reinhardtii*, and *O. tauri* and their subfamily classification.
ACKNOWLEDGEMENTS

We thank Lori Imboden for reading and discussing the manuscript. We also thank the Arabidopsis Functional Genomics Network for making the Arabidopsis stress expression datasets available.

LITERATURE CITED


Derelle E, Ferraz C, Rombauts S, Rouze P, Worden AZ, Robbens S, Partensky F, Degroeve S,
Ostreococcus tauri unveils many unique features. Proc Natl Acad Sci U S A 103: 11647-11652
BAM1, BAM2 and BAM3 receptor kinase-like proteins are required for meristem function in
Arabidopsis. Plant J 45: 1-16
Diener AC, Ausubel FM (2005) RESISTANCE TO FUSARIUM OXYSPORUM 1, a dominant Arabidopsis
disease-resistance gene, is not race specific. Genetics 171: 305-321
virulent and avirulent Pseudomonas syringae strains and by a cloned avirulence gene. Plant Cell
3: 61-72
Escobar-Restrepo JM, Huck N, Kessler S, Gagliardini V, Gheyselinck J, Yang WC, Grossniklaus U
(2007) The FERONIA receptor-like kinase mediates male-female interactions during pollen tube
reception. Science 317: 656-660
characterisation of the STRUBBELIG-RECEPTOR FAMILY of genes encoding putative leucine-
rich repeat receptor-like kinases in Arabidopsis thaliana. BMC Plant Biol 7: 16
Felix G, Duran JD, Volko S, Boller T (1999) Plants have a sensitive perception system for the most
Department of Genome Sciences, University of Washington, Seattle.
encoded at the Lr10 disease resistance locus of wheat. Plant J. 11: 45-52
Finn RD, Tate J, Mistry J, Coggill PC, Sammut SJ, Hotz HR, Ceric G, Forsslund K, Eddy SR,
D281-288
(2006) A PERK-like receptor kinase interacts with the geminivirus nuclear shuttle protein and
potentiates viral infection. J Virol 80: 6648-6656
protein is a virulence factor that suppresses transmembrane receptor kinase activity. Genes Dev
18: 2545-2556
Gomez-Gomez L, Boller T (2000) FLS2: an LRR receptor-like kinase involved in the perception of
Structure of the Arabidopsis RPM1 gene enabling dual specificity disease resistance. Science
269: 843-846
Ham JH, Kim MG, Lee SY, Mackey D (2007) Layered basal defenses underlie non-host resistance of
Arabidopsis to Pseudomonas syringae pv. phaseolicola. Plant J 51: 604-616
Expansion of Plant Tandem Duplicates in the Adaptive Response to Environmental Stimuli. Plant
Physiol
receptor kinase for survival during the pathogen response. Plant J. 14: 55-63
Hecht PM, Anderson KV (1993) Genetic characterization of tube and pelle, genes required for signaling
between Toll and dorsal in the specification of the dorsal-ventral pattern of the Drosophila
embryo. Genetics 135: 405-417
Methods Enzymol. 266: 383-402
pathways induced by systemin, oligosaccharide elicitors, and ultraviolet-B radiation at the level of
mitogen-activated protein kinases in Lycopersicon peruvianum suspension-cultured cells. Plant
Physiol 132: 1728-1738


FIGURE LEGENDS

**Figure 1. Expansion of RLK/Pelle and other kinase families during land plant evolution.**

The phylogeny indicates the relationships between the four land plant species analyzed (A: Arabidopsis, P: poplar, R: rice, M: moss). The internal nodes are labeled indicating the common ancestors of the four plants (AP, APR, and APRM). The branches are labeled 1-6 to facilitate comparisons. The numbers of RLK/Pelle kinases (R) and other kinases (K), calculated based on subfamilies shared between all four species, at each node are shown in black boxes. Ancestral gene numbers were estimated by reconciling the maximum likelihood gene tree of each kinase subfamily with the four-plant species tree (see Materials and Methods).

**Figure 2. RLK subfamily representation among four plant species and innovation in receptor kinase configuration.**

The first column shows subfamily names. The second column indicates the presence of an extracellular domain (ECD) found in most members of that subfamily. ECDs with known protein domains are indicated by symbols with legends shown at the bottom. In the third column (species), the filled rectangles indicate the presence of subfamily member(s) in Arabidopsis (A), Poplar (P), Rice (R), and moss (M). A red rectangle indicates the gain of a protein domain. The identities of the novel domains are shown to the right. For the SD1 subfamily, poplar and rice RLK/Pelle genes gained novel ECDs independently, and these are labeled accordingly.

**Figure 3. Heat map of subfamily expansion rates in different lineages**

Relative expansion rate (defined as the log ratio of the number of genes at the nodes flanking each branch with the more recent node as the numerator) is shown for each subfamily at each branch. Shades of blue indicate the degree of loss, white indicates no net gain or loss, and shades of red indicate the degree of gain. The black box indicates the absence of a subfamily in the APRM-M (branch 1) and APRM-APR (branch 2) lineages. Branches are numbered as shown in the tree diagram.

**Figure 4. RLK/Pelle subfamilies enriched in up- and down-regulated genes under abiotic and biotic stress conditions.**

Enrichment of stress responsive members in each subfamily was determined by Fisher’s Exact Test with red shading indicating over-representation and blue shading indicating under-representation. A gray box indicates that no gene in that subfamily was up- or down-regulated. Red arrows indicate subfamilies with responsiveness to a broad range of biotic signals. The black arrow indicates the LRR-V subfamily whose members have functions in development, and the blue arrow indicates the LRR-II subfamily whose members function in both development and disease resistance. The green arrow indicates the LRR-XII subfamily. Two members in this family are MAMP receptors.
Figure 5. Relationship between tandem duplication and stress responsiveness.

Average subfamily stress responsiveness ($F_S$), as defined in the text, was plotted against the percentage of subfamily members found in tandem repeats for subfamilies with ≥ 2 tandem members. Responsiveness for up-regulated and down-regulated genes are shown by open and filled circles, respectively. The best-fit lines, Spearman’s ρ, and p values for up- and down-regulation are shown.
Table I: Representation of the kinase superfamily and the RLK/Pelle family in selected eukaryotic genomes

<table>
<thead>
<tr>
<th>Species</th>
<th>Number of kinases (K)(^a)</th>
<th>Number of RLK/Pelles (R)(^b)</th>
<th>Number of protein coding genes (G)(^c)</th>
<th>%(K/G)(^d)</th>
<th>%(R/G)(^e)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Saccharomyces cerevisiae</td>
<td>113</td>
<td>0</td>
<td>6,449</td>
<td>1.75</td>
<td>0</td>
</tr>
<tr>
<td>Candida albicans</td>
<td>95</td>
<td>0</td>
<td>6,146</td>
<td>1.55</td>
<td>0</td>
</tr>
<tr>
<td>Drosophila melanogaster</td>
<td>262</td>
<td>0</td>
<td>13,808</td>
<td>1.90</td>
<td>0.007</td>
</tr>
<tr>
<td>Homo sapiens</td>
<td>472</td>
<td>0</td>
<td>22,980</td>
<td>2.05</td>
<td>0.017</td>
</tr>
<tr>
<td>Ostreococcus tauri</td>
<td>93</td>
<td>0</td>
<td>8166</td>
<td>1.14</td>
<td>0</td>
</tr>
<tr>
<td>Chlamydomonas reinhardtii</td>
<td>426</td>
<td>0</td>
<td>15,143</td>
<td>2.81</td>
<td>0.013</td>
</tr>
<tr>
<td>Physcomitrella patens</td>
<td>685</td>
<td>136</td>
<td>39,796</td>
<td>1.72</td>
<td>0.83</td>
</tr>
<tr>
<td>Orysa sativa cv japonica</td>
<td>1446</td>
<td>786</td>
<td>42,653</td>
<td>3.39</td>
<td>2.48</td>
</tr>
<tr>
<td>Populus trichocarpa</td>
<td>1643</td>
<td>726</td>
<td>45,555</td>
<td>3.61</td>
<td>2.40</td>
</tr>
<tr>
<td>Arabidopsis thaliana</td>
<td>998</td>
<td>443</td>
<td>26,751</td>
<td>3.73</td>
<td>2.28</td>
</tr>
</tbody>
</table>

\(^a\)Number of protein kinase domain-containing sequences (including RLK/Pelle family members)

\(^b\)Number of RLK/Pelle sequences

\(^c\)Number of predicted protein coding genes (O. tauri: Derelle et al., 2006; C. reinhardtii: Merchant et al., 2007; P. patens: Rensing et al., 2008; O. sativa: Yuan et al., 2005; P. trichocarpa: Tuskan et al., 2006; A. thaliana: TAIR v.6)

\(^d\)Percentage of protein coding genes that are protein kinases.

\(^e\)Percentage of protein coding genes that are RLK/Pelle family members.
<table>
<thead>
<tr>
<th>Response</th>
<th>Up-regulation</th>
<th>Down-regulation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Statistical test</td>
<td></td>
</tr>
<tr>
<td></td>
<td>RLK/ Pelle&lt;sup&gt;a&lt;/sup&gt;</td>
<td>Tandem/ Non-tandem&lt;sup&gt;b&lt;/sup&gt;</td>
</tr>
<tr>
<td></td>
<td>P value</td>
<td>O/U&lt;sup&gt;c&lt;/sup&gt;</td>
</tr>
<tr>
<td>Abiotic stress conditions</td>
<td></td>
<td></td>
</tr>
<tr>
<td>UV-B</td>
<td>9.36E-25</td>
<td>O (28)&lt;sup&gt;e&lt;/sup&gt;</td>
</tr>
<tr>
<td>Wounding</td>
<td>1.61E-06</td>
<td>O (10)</td>
</tr>
<tr>
<td>Drought</td>
<td>0.033&lt;sup&gt;a&lt;/sup&gt;</td>
<td>U (0.5)</td>
</tr>
<tr>
<td>Cold4C</td>
<td>0.718</td>
<td>(13)</td>
</tr>
<tr>
<td>Heat</td>
<td>1.00E-04</td>
<td>U (10)</td>
</tr>
<tr>
<td>Salt</td>
<td>0.771</td>
<td>(8)</td>
</tr>
<tr>
<td>Osmotic</td>
<td>5.15E-04</td>
<td>O (19)</td>
</tr>
<tr>
<td>Biotic stress conditions</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Flg22</td>
<td>1.37E-47</td>
<td>O (18)</td>
</tr>
<tr>
<td>GST-NPP1</td>
<td>2.51E-28</td>
<td>O (13)</td>
</tr>
<tr>
<td>HrcC-</td>
<td>1.31E-48</td>
<td>O (19)</td>
</tr>
<tr>
<td>P. infestans</td>
<td>2.52E-17</td>
<td>O (18)</td>
</tr>
<tr>
<td>Psph</td>
<td>1.57E-44</td>
<td>O (25)</td>
</tr>
<tr>
<td>HrpZ</td>
<td>4.02E-43</td>
<td>O (23)</td>
</tr>
<tr>
<td>AvrRpm1</td>
<td>3.18E-04</td>
<td>O (14)</td>
</tr>
<tr>
<td>DC3000</td>
<td>0.393</td>
<td>(12)</td>
</tr>
</tbody>
</table>

<sup>a</sup>Chi square tests were conducted to determine whether RLK/Pelle genes were over-represented among genes up- or down-regulated by stress conditions compared to all Arabidopsis genes.

<sup>b</sup>Chi square tests were conducted to determine whether tandem RLK/Pelle genes were over-represented among genes up- or down-regulated by stress conditions compared to non-tandem RLKs.

<sup>c</sup>O and U indicate whether significant differences are due to over-representation or under-representation, respectively.

<sup>d</sup>Not significant after adjusting for multiple testing with sequential Bonferroni.

<sup>e</sup>Number in parenthesis indicates the percentage of RLK genes that are up- or down-regulated

<sup>f</sup>Number in parenthesis indicates the percentage of tandem RLK genes that are up- or down-regulated
Table III Effect of tandem duplication, expansion, receptor configuration, and kinase signature sequence on stress responsiveness

<table>
<thead>
<tr>
<th></th>
<th>Regression Coefficient</th>
<th>Standard Error</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Intercept</td>
<td>1.678</td>
<td>0.021</td>
<td>2.00e-16</td>
</tr>
<tr>
<td>T (nontandem)b</td>
<td>0.004</td>
<td>0.048</td>
<td>0.94</td>
</tr>
<tr>
<td>E (expanded)</td>
<td>-0.094</td>
<td>0.047</td>
<td>0.047*</td>
</tr>
<tr>
<td>R (RLCK)</td>
<td>-0.084</td>
<td>0.027</td>
<td>0.002</td>
</tr>
<tr>
<td>K (other)</td>
<td>-0.017</td>
<td>0.089</td>
<td>0.85</td>
</tr>
<tr>
<td>T*E</td>
<td>-0.110</td>
<td>0.082</td>
<td>0.18</td>
</tr>
<tr>
<td>T*R</td>
<td>0.158</td>
<td>0.053</td>
<td>0.003</td>
</tr>
<tr>
<td>T*K</td>
<td>-0.102</td>
<td>0.112</td>
<td>0.36</td>
</tr>
<tr>
<td>E*R</td>
<td>0.114</td>
<td>0.053</td>
<td>0.031*</td>
</tr>
<tr>
<td>E*K</td>
<td>0.181</td>
<td>0.095</td>
<td>0.056†</td>
</tr>
<tr>
<td>R*K</td>
<td>0.000</td>
<td>0.087</td>
<td>0.999</td>
</tr>
</tbody>
</table>

aThe highest fold change during the time course summed across biotic stress conditions and box cox transformed to improve normality (see Materials and Methods).
bDummy variables were assigned values of 1 or 0 (reference, shown in parentheses).
cRegression coefficients are on the box cox transformed scale (λ = -0.315).
dP value, determined by T-test, indicates whether the effect of a factor is significantly greater than 0. Significant P values (α = 0.05) are shown in bold.
eNot significant after sequential Bonferroni adjustment.
fA significant effect of E*K was observed for analysis including two potential outliers (see Materials and Methods).
Figure 1. Expansion of RLK/Pelle and other kinase families during land plant evolution.
The phylogeny indicates the relationships between the four land plant species analyzed (A: Arabidopsis, P: poplar, R: rice, M: moss). The internal nodes are labeled indicating the common ancestors of the four plants (AP, APR, and APRM). The branches are labeled 1-6 to facilitate comparisons. The numbers of RLK/Pelle kinases (R) and other kinases (K), calculated based on subfamilies shared between all four species, at each node are shown in black boxes. Ancestral gene numbers were estimated by reconciling the maximum likelihood gene tree of each kinase subfamily with the four-plant species tree (see Materials and Methods).
**Figure 2. RLK subfamily representation among four plant species and innovation in receptor kinase configuration.**

The first column shows subfamily names. The second column indicates the presence of an extracellular domain (ECD) found in most members of that subfamily. ECDs with known protein domains are indicated by symbols with legends shown at the bottom. In the third column (species), the filled rectangles indicate the presence of subfamily member(s) in Arabidopsis (A), Poplar (P), Rice (R), and moss (M). A red rectangle indicates the gain of a protein domain. The identities of the novel domains are shown to the right. For the SD1 subfamily, poplar and rice RLK/Pelle genes gained novel ECDs independently, and these are labeled accordingly.

<table>
<thead>
<tr>
<th>Subfamily</th>
<th>Majority ECD</th>
<th>Species</th>
<th>Novel ECDs</th>
</tr>
</thead>
<tbody>
<tr>
<td>RLCK-II</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>RLCK-IV</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>RLCK-V</td>
<td></td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>RLCK-VI</td>
<td></td>
<td>M</td>
<td></td>
</tr>
<tr>
<td>RLCK-VIIa</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>RLCK-VIIb</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>RLCK-VIII</td>
<td></td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>RLCK-IXa</td>
<td></td>
<td>M</td>
<td></td>
</tr>
<tr>
<td>RLCK-IXb</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>RLCK-X</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>RLCK-XI</td>
<td></td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>RLCK-XII</td>
<td></td>
<td>M</td>
<td></td>
</tr>
<tr>
<td>RLCK-XIII</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>RLCK-XIV</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>RLCK-XV</td>
<td></td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>RLCK-XVI</td>
<td></td>
<td>M</td>
<td></td>
</tr>
<tr>
<td>RLCK-XVII</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>RLCK-XVIII</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>RLCK-XIX</td>
<td></td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>RLCK-XII XIX</td>
<td></td>
<td>M</td>
<td></td>
</tr>
<tr>
<td>LRR-I</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>LRR-II</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>LRR-III</td>
<td></td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>LRR-IV</td>
<td></td>
<td>M</td>
<td></td>
</tr>
<tr>
<td>LRR-V</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>LRR-VI-1</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>LRR-VI-2</td>
<td></td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>LRR-VII</td>
<td></td>
<td>M</td>
<td></td>
</tr>
<tr>
<td>LRR-VIII-1</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>LRR-VIII-2</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>LRR-IX</td>
<td></td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>LRR-Xa</td>
<td></td>
<td>M</td>
<td></td>
</tr>
<tr>
<td>LRR-Xb</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>LRR-XI</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>LRR-XII</td>
<td></td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>LRR-XIIa</td>
<td></td>
<td>M</td>
<td></td>
</tr>
<tr>
<td>LRR-XIIib</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>LRR-XIV</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>LRR-XV</td>
<td></td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>LRR-XVI</td>
<td></td>
<td>M</td>
<td></td>
</tr>
<tr>
<td>C-LEC</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>CRLK11-1</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>DUF26</td>
<td></td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>Extensin</td>
<td></td>
<td>M</td>
<td></td>
</tr>
<tr>
<td>L-LEC</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>LRK10L-2</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>LysM-I</td>
<td></td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>LysM-II</td>
<td></td>
<td>M</td>
<td></td>
</tr>
<tr>
<td>PERK</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>RKF3</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>SD1</td>
<td></td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>SD2</td>
<td></td>
<td>M</td>
<td></td>
</tr>
<tr>
<td>URK-I</td>
<td></td>
<td>A</td>
<td></td>
</tr>
<tr>
<td>WAK</td>
<td></td>
<td>P</td>
<td></td>
</tr>
<tr>
<td>WAK_LRK10L</td>
<td></td>
<td>R</td>
<td></td>
</tr>
</tbody>
</table>

**Legend**

- ECD
- LRR
- Glyco_hydro_18
- LysM
- C-Lectin
- DUF26
- legume lectin
- LysM
- SCP
- GDPD
- thaumatin
- B-lectin
- S-locus glycoprotein
- Poplar-specific
- rice
- scolar
Figure 3. Heat map of subfamily expansion rates in different lineages
Relative expansion rate (defined as the log ratio of the number of genes at the nodes flanking each branch with the more recent node as the numerator) is shown for each subfamily at each branch. Shades of blue indicate the degree of loss, white indicates no net gain or loss, and shades of red indicate the degree of gain. The black box indicates the absence of a subfamily in the APRM-M (branch 1) and APRM-APR (branch 2) lineages. Branches are numbered as shown in the tree diagram.
Table 1. Stress responsive RLK/Pelle subfamilies and their functions.

<table>
<thead>
<tr>
<th>Subfamily</th>
<th>Up-regulation</th>
<th>Down-regulation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Biotic</td>
<td>Abiotic</td>
<td>Biotic</td>
</tr>
<tr>
<td>LRR-V</td>
<td></td>
<td>LRR-VI</td>
</tr>
<tr>
<td>LRR-VII</td>
<td></td>
<td>LRR-VIII</td>
</tr>
<tr>
<td>LRR-VIII</td>
<td></td>
<td>LRR-VII-1</td>
</tr>
<tr>
<td>LRR-XIV</td>
<td></td>
<td>LRR-XVI</td>
</tr>
<tr>
<td>RLCK-VIII</td>
<td></td>
<td>RLCK-XV</td>
</tr>
<tr>
<td>RLCK-IX</td>
<td></td>
<td>RLCK-VI</td>
</tr>
<tr>
<td>RLCK-XII</td>
<td></td>
<td>RLCK-VII</td>
</tr>
<tr>
<td>RLCK-V</td>
<td></td>
<td>RLCK-VIII</td>
</tr>
<tr>
<td>RLCK-VII</td>
<td></td>
<td>RLCK-XI</td>
</tr>
</tbody>
</table>

Figure 4. RLK/Pelle subfamilies enriched in up- and down-regulated genes under abiotic and biotic stress conditions.

Enrichment of stress responsive members in each subfamily was determined by Fisher’s Exact Test with red shading indicating over-representation and blue shading indicating under-representation. A gray box indicates that no gene in that subfamily was up- or down-regulated. Red arrows indicate subfamilies with responsiveness to a broad range of biotic signals. The black arrow indicates the LRR-V subfamily whose members have functions in development, and the blue arrow indicates the LRR-II subfamily whose members function in both development and disease resistance. The green arrow indicates the LRR-XII subfamily. Two members in this family are MAMP receptors.
Figure 5. Relationship between tandem duplication and stress responsiveness.
Average subfamily stress responsiveness ($F_S$), as defined in the text, was plotted against the percentage of subfamily members found in tandem repeats for subfamilies with ≥ 2 tandem members. Responsiveness for up-regulated and down-regulated genes are shown by open and filled circles, respectively. The best-fit lines, Spearman’s $\rho$, and $p$ values for up- and down-regulation are shown.